

# Climate change alters impacts of extreme climate events on a tropical perennial tree crop

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## Article

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# **Climate change alters impacts of extreme climate events on a tropical perennial tree crop**

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**Anthropogenic climate change causes more frequent and intense fluctuations in the El Niño Southern Oscillation (ENSO). Understanding the effects of ENSO on agricultural systems is crucial for predicting and ameliorating impacts on lives and livelihoods, particularly in perennial tree crops, which may show both instantaneous and delayed**

3  
26 **responses. Using cocoa production in Ghana as a model system, here we**  
27 **show that in recent times, El Niño years experience reductions in cocoa**  
28 **production followed by several years of increased production, a**  
29 **significantly different pattern than prior to the 1980s. ENSO phase**  
30 **affects the climate in Ghana, and over the same time period, we see**  
31 **concomitant significant shifts in the climatic conditions resulting from**  
32 **ENSO extremes, with increasing temperature and water stress. Our**  
33 **results illustrate the big data analyses necessary to improve**  
34 **understanding of perennial crop responses to climate change in**  
35 **general, and climate extremes in particular.**

36 Changes in the patterns of climate and climate extremes through  
37 anthropogenic climate change will cause substantial changes to crop  
38 production<sup>1</sup>, and understanding the processes that shape these responses is  
39 increasingly important to maintain food supplies and the livelihoods that  
40 depend on farming, distribution and industrial processing of crops. This is  
41 particularly true in the global south where a greater proportion of farmers live  
42 at or below the poverty level and there may be less state, institutional and  
43 individual resilience to production volatility. Worse, the relatively stable intra-  
44 annual climate of the tropics is most at risk of experiencing novel climatic  
45 conditions as a result of climate change<sup>2</sup>. These conditions may first be  
46 experienced as a result of climatic oscillations such as those driven by the El  
47 Niño Southern Oscillation (ENSO), which is increasing in frequency and  
48 magnitude<sup>3,4</sup>. Understanding the links between ENSO and crop production may  
49 contribute to the monitoring and prediction of crop production, informing  
50 management of agriculture and markets, and potentially providing early  
51 warnings for disruption to livelihoods from widespread crop failures.

52 El Niño events bring hot weather to the terrestrial tropics, often accompanied  
53 by reduced rainfall<sup>5</sup>; the resulting droughts reduce vegetative productivity and  
54 have increased in severity under climate warming<sup>2</sup>. The impact of ENSO phase  
55 on crop production has been demonstrated at spatial resolutions from small-  
56 scale farm studies (e.g. in rice<sup>6</sup>, coffee<sup>7</sup>, cocoa<sup>8</sup>) disentangling vegetative  
57 responses to management, pests, disease and climate, to regional and national  
58 production<sup>9,10</sup> exploring the substantial geographic variation within responses

59 at regional and global scales<sup>11</sup>. Much crop-ENSO research has focused on  
60 annuals, the source of the majority of the world's food, and the short life cycle  
61 of these crops allows for direct inference of the impact of climate shocks.  
62 Perennial crops, particularly tree crops, have received less attention, despite  
63 the US\$538tn 2019 gross production value of perennial tree crop agriculture  
64 globally<sup>12</sup> and the importance of these crops to livelihoods<sup>13</sup>. The possibility of  
65 delayed impacts of ENSO over the multi-annual life cycle of perennial crops  
66 further highlights the need to address this research gap.

67 Here, we use a novel big data approach for understanding the impact of ENSO  
68 phase on perennial tree crops using long term data of a model system: cocoa  
69 agriculture in Ghana. Cocoa (*Theobroma cacao* L.) is grown throughout the  
70 tropics by 5-6 million farmers, with 90-95% of production from smallholder  
71 farms of 3 hectares or less<sup>14</sup>. Ghana and neighbouring Cote d'Ivoire, sharing a  
72 similar climate and ecology, are the world's top cocoa producers<sup>12</sup> (Figure 1B)  
73 in a global raw market worth US\$8.2bn in 2019. As the raw material of a major  
74 global food industry, the implications of volatility in cocoa production reach  
75 beyond farmers to affect major cocoa-producing states and multinational  
76 companies. Here, we investigate (i) the instantaneous and delayed responses of  
77 cocoa production to ENSO phase, (ii) change in these responses over time and  
78 (iii) the local climatic impacts of ENSO phase to identify potential climatic  
79 drivers of cocoa production during climate shocks.

## 80 **ENSO drives multi-year fluctuations in production**

81 We firstly aimed to characterise the instantaneous and delayed impacts of  
82 ENSO phase on cocoa production in recent decades, expecting from previous  
83 research<sup>8,15,16</sup> to see production declines in El Niño years. We acquired annual  
84 total production weights for the 68 cocoa producing districts (Figure 1a) for 21  
85 purchase years 1999/2000 to 2019/20. To control for technological  
86 improvement and variation in the area under production over time and space,  
87 we detrended each district's production, taking the z-scores of the observations  
88 from the linear trend of production over time. ENSO phase was measured  
89 using the Oceanic Niño Index<sup>17</sup>, summarised for each purchase year by  
90 calculating the maximum annual magnitude (mamONI). To investigate  
91 instantaneous and delayed effects of ENSO phase, detrended production in a

92 given year  $t$  was fitted using multiple regression against mamONI for the same  
93 and 3 prior years (i.e. years  $t$  to  $t-3$ ). Mean detrended production significantly  
94 declined with increasing mamONI in year  $t$ , i.e. production is greater than  
95 average during La Niña and lower than average during El Niño years (Figure  
96 2a). We also see significant relationships between mean detrended production  
97 and mamONI in years  $t-1$ ,  $t-2$ , and  $t-3$  (Figure 2b-d), indicating delayed effects  
98 on production.

### 99 **ENSO production responses have changed over time**

100 To explore changes in the impact of ENSO phase over time, particularly any  
101 signal of anthropogenic climate change, we acquired production data from the  
102 six cocoa producing regions (Figure 1) for purchase years 1947/48 to 2019/20  
103 and employed a similar detrending process as for the district data, removing  
104 the 9-year moving average rather than the linear trend. We performed multiple  
105 regression analyses as described above, which demonstrate a similar pattern to  
106 the district analysis, but the significant instantaneous negative effect is  
107 reduced in magnitude (Figure 2e), and all terms are less significant (Figure 2f-  
108 h). This difference arises because the production response to ENSO has  
109 changed: comparison of candidate models allowing the response to mamONI to  
110 vary in time returned a best model fitting a break-point in the ENSO-  
111 production relationship between the 1986/87 and 1987/88 purchase years, with  
112 other high-scoring models fitting break-points between 1985 and 1988  
113 (Supplementary Table 1). Hence, since the mid-1980s (“recent”), the ENSO-  
114 production relationship mirrors that of the district data (Figure 3d-f), but prior  
115 to this (“past”), patterns of production in relation to ENSO were significantly  
116 different (Figure 3a-c).

### 117 **ENSO impacts on local climate**

118 The impact of ENSO on cocoa production is mediated through climate, thus we  
119 sought to examine the ENSO-climate relationship in Ghana’s cocoa production  
120 zone during the purchase year, and explore the extent to which this  
121 relationship may also have changed over the time period of our long-term  
122 production dataset. We carried out analyses for each (i) month and (ii)  
123 climatological season, regressing temperature, precipitation and (maximum)

124 climatological water deficit (month: CWD, season: MCWD) against mamONI,  
125 fitting time period (“past” or “recent”) as an interaction. These results show  
126 that in “recent” purchase years (orange lines, Figure 4), El Niño conditions  
127 cause significant increases in temperature across all seasons and decreasing  
128 rainfall in most seasons, particularly the major wet season; conversely, La Niña  
129 conditions bring cooler, wetter conditions. Drought stress responds  
130 accordingly: El Niño brings significant increases in drought stress (lower  
131 MCWD) compared with La Niña in most seasons, although the effect is slight.

132 Comparing “past” and “recent” climatic responses to ENSO phase (blue vs  
133 orange lines, Figure 4) shows significant increases in mean temperature  
134 throughout the year, so while the magnitude of the warming trend has either  
135 not changed or lessened, “recent” El Niños nonetheless bring mean  
136 temperatures not experienced in the “past”. Rainfall has changed less  
137 substantially over time; while the changes in mean rainfall are significant, they  
138 remain small, apart from in the major dry season which has become  
139 substantially drier over time. This results in a significant decrease in mean  
140 MCWD in the major dry season between “past” and “recent” years, denoting  
141 greater drought stress (Figure 4j). In general, across all metrics and seasons,  
142 the slopes of the effect of mamONI on climate metrics are shallower in  
143 “recent” years compared with the “past”, suggesting that ENSO phase now  
144 drives less climatic variation among ENSO phases (between El Niño and La  
145 Niña years) than in the past.

146 MCWD has significantly reversed direction during the major wet season  
147 between “past” and “recent” years (Figure 4k). In the “past”, El Niño brought  
148 increased drought stress, as expected by the warmer, drier conditions (Figure  
149 4c, g), while in “recent” years drought stress appears to *decrease* during El  
150 Niño, despite the same conditions. This result appears counterintuitive;  
151 however the monthly analyses (Figure 5, Supplementary Figure 1) show an  
152 ongoing impact on CWD of significant changes in rainfall earlier in the year,  
153 namely a reversal in direction of the rainfall response to ENSO phase during  
154 March and April (Figure 5s, t). El Niño brings increased rainfall in “recent”  
155 years compared with decreased rainfall in the “past”, reflected in the March  
156 and April CWD (Figure 5ae, af), and this increase, coupled with generally

157 increasing average rainfall and slightly decreasing average temperature  
158 entering the major wet season, results in decreased CWD for several months.

## 159 **Summary and criticism**

160 Using a robust recent dataset, our analyses show that cocoa production is  
161 significantly affected by the maximum magnitude of ENSO phase during the  
162 current and previous purchase years (Figure 2). The instantaneous effect is  
163 negative, followed by delayed positive effects in the two following years and  
164 negative in the third following year, combining to give a picture of multi-year  
165 fluctuations in cocoa production as a result of El Niño/La Niña events. Using a  
166 70-year dataset, we show significant changes in these instantaneous and  
167 delayed ENSO-production relationships between recent and past time periods  
168 (Figure 3). Using ERA5 data for the cocoa production area of Ghana,  
169 summarised at the same temporal resolution as the production data, we  
170 demonstrate significant relationships between ENSO phase and climate, with  
171 significant changes in mean climate and in ENSO-climate relationships (Figure  
172 4) between recent and past time periods.

173 Our 70-year production dataset represents a temporal extent unmatched by  
174 other research, however was aggregated to fewer replicates than the 21-year  
175 analysis (6 regions vs 68 districts). While this may represent reduced power,  
176 results from the overlapping time period of the two datasets strongly agree.  
177 The computation of yield, a more comparable metric between different-sized  
178 areas than total production, was not possible because data on area under  
179 production (AUP) were not available. However, the detrending process  
180 employed successfully eliminated variation between districts or regions (of  
181 which AUP is likely a substantial component) and long-term technological  
182 trends that would otherwise confound our ability to isolate the ENSO signal  
183 (Supplementary results).

## 184 **Cocoa crop biology**

185 Perennial crops have multi-year growing patterns, with allocation of resources  
186 to growth, development and reproduction driven by climate in ways that aren't  
187 fully understood<sup>18</sup>. ENSO generally peaks between October and December, also  
188 the busiest cocoa purchase period: thus we observe a relatively instantaneous

189 apparent effect of ENSO phase on cocoa production. This reduction is  
190 consistent with other work on cocoa responses to El Niño from farm  
191 monitoring<sup>8</sup>, large-scale farm surveys<sup>15</sup> and analyses of production data<sup>16</sup>.  
192 During the main cocoa purchase period, coinciding with the minor wet and  
193 major dry seasons, we observe increases in water deficit during El Niño,  
194 leading to drought stress conditions. In small-scale cocoa studies, drought  
195 stress is correlated with reduction in pod production and increased tree  
196 mortality<sup>8,19</sup>, and in similar studies of other tree crops drought is directly linked  
197 to reduction in fruit or nut production<sup>20</sup>, although in all cases the mechanisms  
198 are unclear. Drought may generally create unfavourable conditions for growth  
199 and reproduction through reduced availability of water for vital processes, or  
200 more specifically by promoting disease incidence and pod rot<sup>8</sup>, increasing the  
201 chance of fire, increasing competition for soil moisture<sup>19</sup>, and/or reducing  
202 pollinator populations<sup>21</sup>. Alternatively, cocoa may respond to reduced water  
203 availability by reallocation of resources away from energetically expensive  
204 reproduction: rainfall exclusion experiments suggest that in the medium term,  
205 while bean production drops, vegetative growth is not significantly reduced  
206 during drought<sup>19</sup>.

207 The significant increases in mean temperature and average drought stress we  
208 observed in some seasons over time is such that the climate experienced  
209 during El Niño events in recent decades represent novel extreme conditions for  
210 Ghana's cocoa agriculture. This causes significant changes in the responses of  
211 cocoa production to ENSO phase over the same time period. One explanation  
212 for this may be that the warm, dry El Niño conditions in Ghana in the past were  
213 within the environmental tolerance of cocoa, leading to allocation of resources  
214 to reproduction in response to drought, increasing cocoa bean production and  
215 resulting in less severe instantaneous and delayed responses to ENSO phase  
216 (Figure 3a-d) However, in recent decades this level or greater drought stress  
217 has become the norm (Figure 4i-l), with El Niño conditions apparently  
218 triggering a different response mode, allocating resources away from  
219 reproduction in the short term and creating oscillating resource allocation over  
220 the following years.

221 However, understanding the delayed responses of cocoa is challenging,



222 especially as these represent a novel finding. There is little research that  
223 explores multi-annual physiological or ecological responses of cocoa to  
224 drought, and the explanation is likely to be a combination of both  
225 residual/delayed climatic responses to ENSO phase, and of life history  
226 strategies. The observed increase in production during the two years following  
227 El Niño may be explained by post-drought reallocation of resources to  
228 reproduction as remediation for lost reproductive output in the instantaneous  
229 response, or a shift to a 'faster' strategy by allocating resources to  
230 reproduction over the longer term, becoming evident in the data in subsequent  
231 years. Alternatively, this may be explained by favourable climatic conditions  
232 occurring during an El Niño event that impact the following years' crop. March  
233 and April is a crucial time for cocoa pod development in Ghana and in recent  
234 years El Niño appears to bring greater rainfall during these months. Given the  
235 6-9 month development of cocoa beans, the effects of this increased rainfall  
236 and reduced water deficit on cocoa production will be seen in the delayed  
237 response. We see evidence of this in the climate-change driven reversal of  
238 March-April rainfall patterns: while in the past El Niño has consistently  
239 resulted in drought stress, this reversal provides a respite from drought,  
240 buffering trees from reduced rainfall during the major wet season and giving  
241 sufficient resources for improved production in the following year.

## 242 **The global perspective**

243 The robustness of our results provide evidence that may aid development of  
244 resilience strategies for ENSO-driven cocoa production variation in Ghana, but  
245 we may also consider whether these results can be generalised to the  
246 production of cocoa and/or perennial tree crops globally. The climatic impact of  
247 ENSO observed in Ghana is broadly consistent with many regions of the  
248 tropics<sup>2</sup>, the instantaneous cocoa production responses to El Niño are  
249 consistent with findings in these regions, and so we may expect these regions  
250 to see a similar pattern of multi-annual cocoa production variation in response  
251 to ENSO phase. However, there is considerable variation in ENSO responses  
252 among and within other perennial tree crops in regions where climatic  
253 responses to ENSO are similar to Ghana. Oil palm yields have been negatively  
254 associated with ENSO phase in Malaysia<sup>9</sup>, as have olive yields in Morocco

(delayed by a year<sup>20</sup>). Conversely, apple yields have been positively associated with ENSO phase in China<sup>10</sup>, as have coffee yields in Brazil<sup>22</sup>; however, no effect at all is seen in coffee in India over a 35-year time series<sup>7</sup>. Most of these analyses considered only a single ENSO phase (usually El Niño), and most considered only instantaneous impacts. However, it is clear that most of these crops do respond to ENSO, and given the shared biology it is reasonable to assume that delayed effects of ENSO phase are likely and should be considered to understand the full picture of ENSO impacts on perennial tree crops.

The larger body of research into ENSO impacts on annual crops includes many studies using long time series, reporting high heterogeneity in space and among crops<sup>11,23,24</sup>. However, there appears to be little examination of changes in the direction and magnitude of ENSO responses over time; thus our findings are timely and signal that further research is needed to examine how changing climates may force novel extreme climatic conditions and shift response patterns to ENSO phase. Given that perennial tree crops are generally cash crops, and the utility of these crops to farmers are to a greater or lesser extent mediated by market forces, there is a need for improved forecasting of yield in response to changing climate and ENSO patterns to withstand production fluctuations. The low perishability of many perennial tree crops means that with accurate forecasting, supply may be managed or even exploited to ensure consistency of income both for farmers and those whose livelihoods depend on related food manufacturing industries.

### 277 **Big data approaches**

278 Our approach to understanding the responses of a perennial tree crop to ENSO  
279 phase and anthropogenic climate change exploited existing global, national  
280 and subnational datasets for climate and production with appropriate spatial  
281 and temporal resolution. We use freely available geographic and climate data,  
282 and employ highly replicable methods: a simple pipeline of climate data  
283 aggregation and summary computation, coupled with standard detrending and  
284 straightforward analytical methods with a relatively small computational  
285 requirement. This “big data” approach to agriculture-climate research  
286 demonstrates a relatively straightforward framework for understanding  
287 responses of agricultural productivity to climate and identifying temporal

19 10  
288 changes in these relationships. While small-scale studies examine the  
289 mechanisms of climate impacts through the interacting effects of agricultural  
290 practices, abiotic conditions, disease incidence and multi-trophic interactions,  
291 large-scale studies across regions and over time scales encompassing many  
292 ENSO oscillations are required to understand the global picture of perennial  
293 tree crop production security. Combined with local context-specific studies on  
294 governance arrangements (e.g. Hirons et al. 2018<sup>25</sup>), such approaches could be  
295 crucial for reducing future vulnerability of these industries to increasing  
296 volatility under anthropogenic climate change. The main barrier to this  
297 research is the availability of production data from state or commercial  
298 entities.

## 299 **Conclusions**

300 Using cocoa production in Ghana as a model perennial tree crop system, we  
301 demonstrate that ENSO phase has a significant impact on crop production,  
302 likely mediated by simultaneous impacts on local climate. In a novel finding, we  
303 also show delayed effects of ENSO phase on production. Crucially, we  
304 demonstrate that the direction of production impacts has reversed over time,  
305 coinciding with changes in the climatic responses to ENSO, suggesting that  
306 anthropogenic climate change is altering how this perennial tree crop responds  
307 to climate shocks. We speculate that similar patterns are likely to occur in at  
308 least some other perennial tree crops, and urge for further research to emulate  
309 our straightforward “big data” approach in other crops to identify these  
310 patterns and contribute towards efforts to predict and manage the impacts of  
311 climate change on the millions of livelihoods dependent on this agricultural  
312 sector.

## 313 **Methods**

### 314 **Cocoa production data acquisition**

315 Cocoa production data in metric tons was supplied by the Ghana Cocoa Board,  
316 corresponding to the annual total weights of all cocoa bean purchases in each

317 of the 6 cocoa purchase regions for every purchase years 1947/48 to 2018/19  
318 (excluding 1976/77 for two regions), and in each of the 68 cocoa purchase  
319 districts for each of the purchase years 1998/99 to 2018/19. Each of the 68  
320 cocoa purchase districts is within one of the 6 regions. Purchase years run  
321 from late September/early October for 12 months; for this study, every  
322 purchase year was assumed to begin on 1st October and run until 30th  
323 September of the following year. Metric tons were converted to kilograms.  
324 Production weights varied substantially between administrative divisions, and  
325 within administrative divisions over time, presumably in the most part due to  
326 variation in the area under production (AUP) for which no data was available.  
327 To control for the effect of varying AUP, and lesser effects such as  
328 technological improvements in farming practice, the production data was  
329 detrended by conversion to z-scores, i.e. the number of standard deviations  
330 from the mean or expected value. For district data, z-score calculation was  
331 performed based on a linear best fit line for each district, i.e. the z-score for a  
332 particular observation was the number of standard deviations from the value of  
333 the slope for that year; for regional data a linear relationship was not  
334 appropriate so z-score calculation was performed based on a 9-year rolling  
335 average of production.

### 336 **ENSO data acquisition**

337 To identify El Niño and La Niña events, we acquired the complete Oceanic  
338 Nino Index (ONI) dataset since 1950 from NOAA (Huang et al 2017),  
339 comprising rolling 3-month running means of SST anomalies in the Nino 3.4  
340 region. This data was summarised for each purchase year (Oct-Sep, see above)  
341 by taking the value of the greatest magnitude (retaining the sign) within each  
342 purchase year, referred to as maximum annual magnitude of ONI (mamONI).

### 343 **Climate data acquisition**

344 ERA5<sup>26</sup> climate data was acquired from the Copernicus Climate Data Service  
345 using the CDS API in a custom python script. We acquired hourly data at a  
346 0.25° resolution between -3.5° to 1° longitude and 4.5° to 8.5° latitude, for the  
347 full period of the 1950 to 1978 preliminary back extension dataset and from  
348 1979 to 2020 from the final release dataset, for the variables 2m temperature,

349 total precipitation and evaporation as netcdf raster bricks.. All variables were  
350 summarised by day for each grid cell, calculating the daily total for  
351 accumulating variables precipitation and evaporation, and daily minimum,  
352 mean and maximum for temperature (i.e. instantaneous variables).

353 All climate variables were then summarised over month and season, defining  
354 the minor wet as September and October, the major dry season as November  
355 to March, the major wet season as April to July, and the minor dry season as  
356 August, calculating total values for accumulating variables and minimum, mean  
357 and maximum for instantaneous variables. We calculated monthly Cumulative  
358 Water Deficit (CWD) for each cell (Aragão et al 2007) based on monthly totals  
359 of precipitation and evaporation, resetting CWD to 0 for the wettest month for  
360 each cell or if rainfall exceeded twice the evaporation for a given month. Thus  
361 for each purchase year (Oct-Sep, see above) we generated 12 monthly and 4  
362 seasonal values for each climatic metric; note the minor wet season crosses the  
363 purchase year, we considered this as falling at the beginning of a purchase  
364 year rather than the end. Finally, each climate metric was converted to  
365 anomalies by subtracting the mean value for the metric for a reference period,  
366 set to 1981-2010 to encompass only data from the final release ERA5 dataset.  
367 Mean values were computed across months and seasons to retain variation  
368 among months/seasons. The final dataset comprised climate data for the 70  
369 cocoa purchase years 1950/51 to 2019/20, i.e. from October 1950 to  
370 September 2020.

371 The monthly and seasonal summary raster bricks were filtered to include only  
372 cells that intersected with Ghana's cocoa growing areas and and comprised  
373 less than 15% permanent water bodies, based on the preliminary observation  
374 that cells including the Atlantic ocean or the Volta river/reservoir formed  
375 substantial outliers for some climatic variables. Filtering used spatial polygons  
376 of the Ghana cocoa regions supplied by the Ghana Cocoa Board, the Ghana  
377 coastline<sup>27</sup>, and Ghana water bodies<sup>28</sup>.

378 All GIS data manipulation and computation was performed in R 4.0.5<sup>29</sup> using  
379 the sf<sup>30</sup> and stars<sup>31</sup> geospatial packages and their dependencies.

## 380 **Cocoa production analysis**

381 All analysis was performed in R 4.0.5<sup>29</sup>. To identify possible delays in the  
382 relationship between ONI and production we computed, separately for each  
383 district or region, the cross-correlation of the production anomaly time series  
384 against the mamONI time series for delays of 0 to 12 (i.e. production anomaly  
385 against mamONI values for the current and 12 preceding years) and computed  
386 the probability of each correlation coefficient differing from 0. For each  
387 dataset (district, regional), we then calculated the mean of all correlation  
388 coefficients for each of the 13 delays, and computed student's t to test if this  
389 mean was significantly different from 0. To ensure that the detrending  
390 methodology had sufficiently standardised the production data for regression  
391 to be appropriate, we conducted, separately for each district or region, a  
392 search of ARIMA models to ensure that the best fitting parameters for the  
393 order of autoregression, degree of differencing and moving average were all  
394 equal to 0. This search was implemented in the auto.arima function from the  
395 forecast R package<sup>32</sup>, fitting mamONI as an external regressor, using AIC to  
396 compare candidate models and using non-stepwise selection and no  
397 approximation of information criteria for intermediate models to improve  
398 accuracy. For both district and regional data, the majority of time series had  
399 parameter values of 0 for all three parameters (Supplementary Table 2). To  
400 ensure that the detrending methodology had sufficiently standardised the  
401 production data such that no remaining inter-district or inter-regional variation  
402 remained, we checked the singularity of a mixed effects model for each  
403 dataset, fitting detrended production against the intercept with district or  
404 region as a random effect using the isSingular and lmer functions from the  
405 lme4 package<sup>33</sup>. We would expect that if detrending sufficiently removed  
406 variation in the random effect, the resultant random effect variance would be  
407 close to zero and cause singularity.

408 To assess the contribution of different delayed mamONI values on the district-  
409 level production dataset, we performed multiple regression with production  
410 anomalies as the response variable and mamONI at delays of 0 to 3 as additive  
411 explanatory variables. The same model was also implemented in a linear mixed  
412 effects model, fitting district as a random effect, which validated that the  
413 detrending methodology sufficiently removed all meaningful variation between  
414 districts and that excluding this variable was appropriate. For the region

415 dataset, we additionally wanted to explore the extent to which the response of  
416 production to variation in mamONI has changed over time. To this end, we  
417 generated 71 two-factor categorical variables that each grouped observations  
418 into before/after each year in the dataset, to examine inflection points in the  
419 response of production to ONI. We then created a set of candidate models as  
420 follows: (i) the same model as used in the district data, with four delays; (ii) the  
421 model (i), but with year (centred and scaled to unit variance) as an interaction  
422 with each delayed mamONI variable; (iii) 71 piecewise regressions, each based  
423 on model (i) but with one of the year grouping variables as an interaction with  
424 each delayed mamONI variable. The 73 candidate models were compared  
425 using AICc, implemented in the model.sel function of the MuMIn R package<sup>34</sup>.

## 426 **Climate analysis**

427 The purpose the climate analysis was to demonstrate the climatological  
428 teleconnections between the Oceanic Nino Index and cocoa production through  
429 i) identifying instantaneous climatic responses in the cocoa producing areas of  
430 Ghana to ONI-defined El Niño events and ii) identifying any leading or delayed  
431 climate signal associated with ONI in these areas. To identify possible delays in  
432 the relationship between ONI and climate we computed, separately for each  
433 climate metric, the cross-correlation of the climate metric anomaly time series  
434 against either monthly ONI values (for monthly climate metrics) or against  
435 mamONI values (for the purchase year corresponding to seasonal climate  
436 metrics). Cross-correlations were performed for leads/delays between -36 and  
437 36 months or -3 to 3 years, as appropriate; statistical tests were then  
438 performed as described for the cocoa production data. We then regressed  
439 monthly and seasonal climate metrics against mamONI values separately  
440 within month and season, and examined these relationships for changes over  
441 time by fitting interactions with year grouping variables using the same  
442 methodology described in the cocoa production analysis.

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## 447 **Author Contributions**

448 K.N., R.A.A., M.H., J.M., Y.M., C.L.M., A.C.M., conceived the research, E.O.,  
 449 R.A.A., J.M. acquired production data, T.J.C., K.N. developed this project, T.J.C.  
 450 performed analyses and wrote the first draft of the manuscript, all coauthors  
 451 contributed to the final paper.

## 452 **Competing interests**

453 The authors declare no conflicts of interest.

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## Main figures

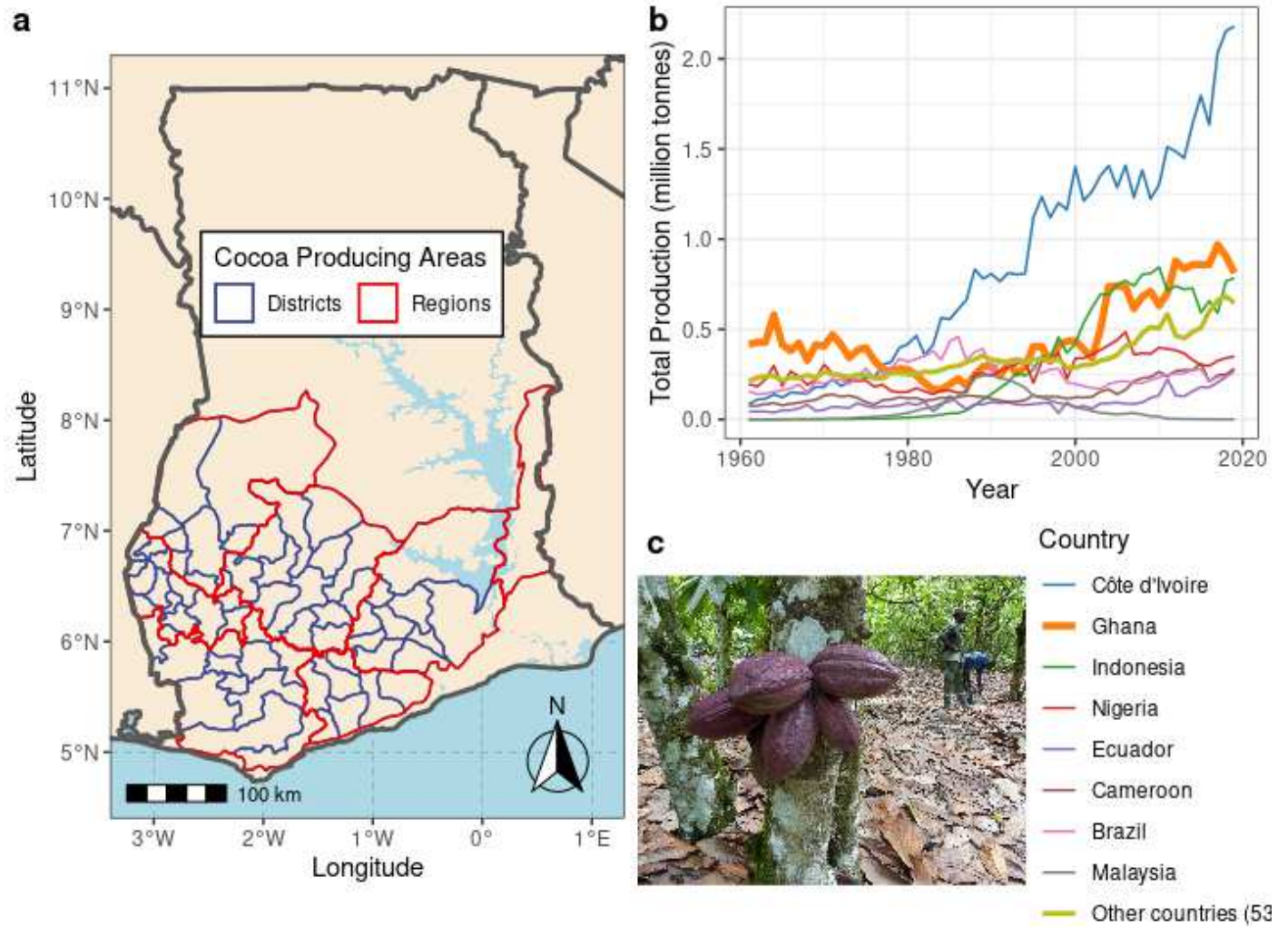


Figure 1: Cocoa production in Ghana. (a) map of cocoa producing districts (blue outlines) and regions (red outlines) in Ghana. (b) Global cocoa production over the last 60 years. (c) Cocoa pods on a farm in Ghana (photo copyright A.C.M.)

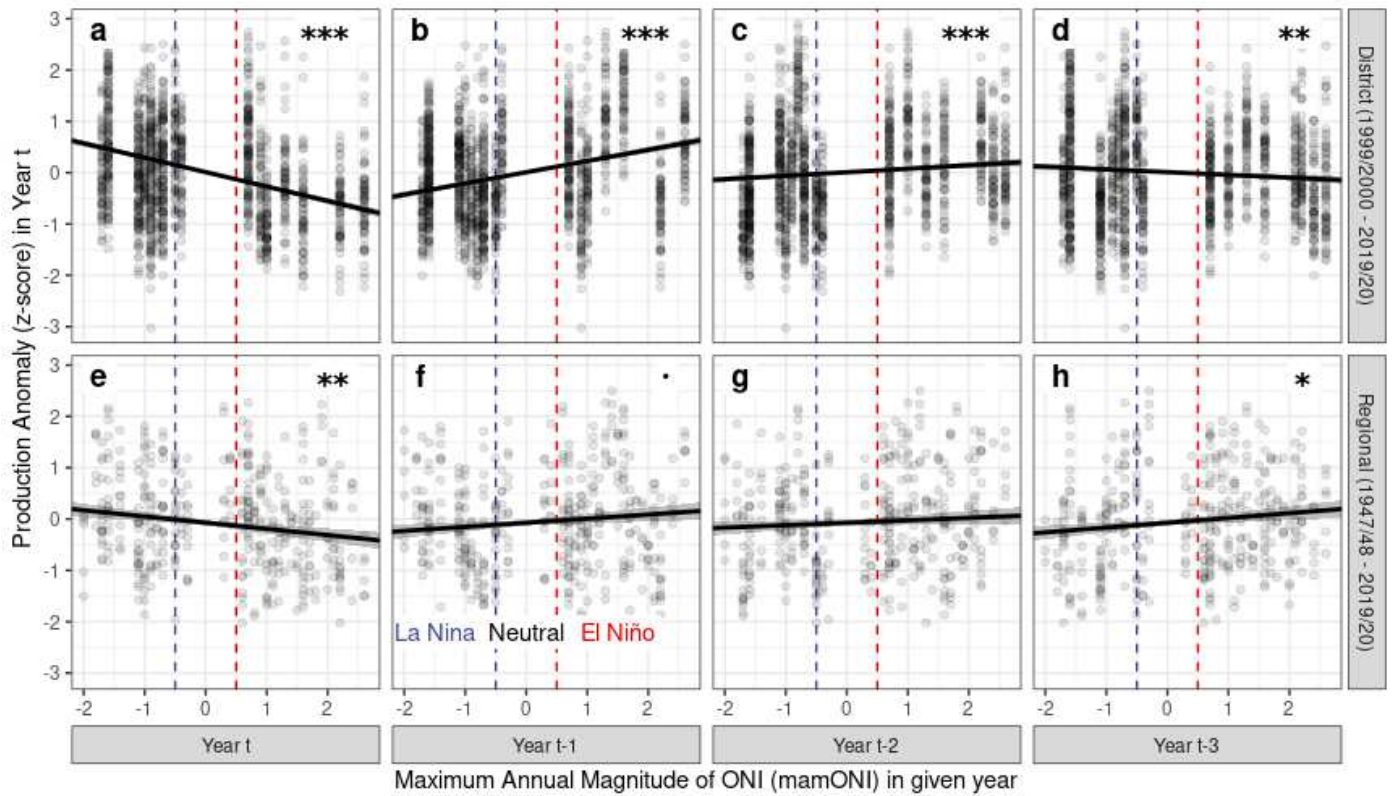


Figure 2: The instantaneous and delayed responses of cocoa production to ENSO phase, represented as mamONI. Vertical dashed lines delineate La Niña ( $mamONI \leq -0.5$ ), Neutral ( $-0.5 < mamONI < 0.5$ ) and El Niño ( $mamONI \geq 0.5$ ) conditions. The relationships between Production Anomaly and mamONI through time are explored using a multiple regression for each dataset (panel rows: District dataset, 1999/2000 - 2019/20, a-d; Regional dataset, 1947/48 - 2019/20, e-h) fitting the Production Anomaly in year  $t$  against mamONI in years  $t$  to  $t-3$  (panel columns). Lines show the best linear fits and standard errors derived from each multiple regression. Significance stars denote the probability that a slope differs from zero (\*\*\*:  $p < 0.001$ , \*\*:  $p < 0.01$ , \*:  $p < 0.05$ , .:  $p < 0.1$ ). Adjusted R squared for the District model was 0.20, for the Regional model 0.05.

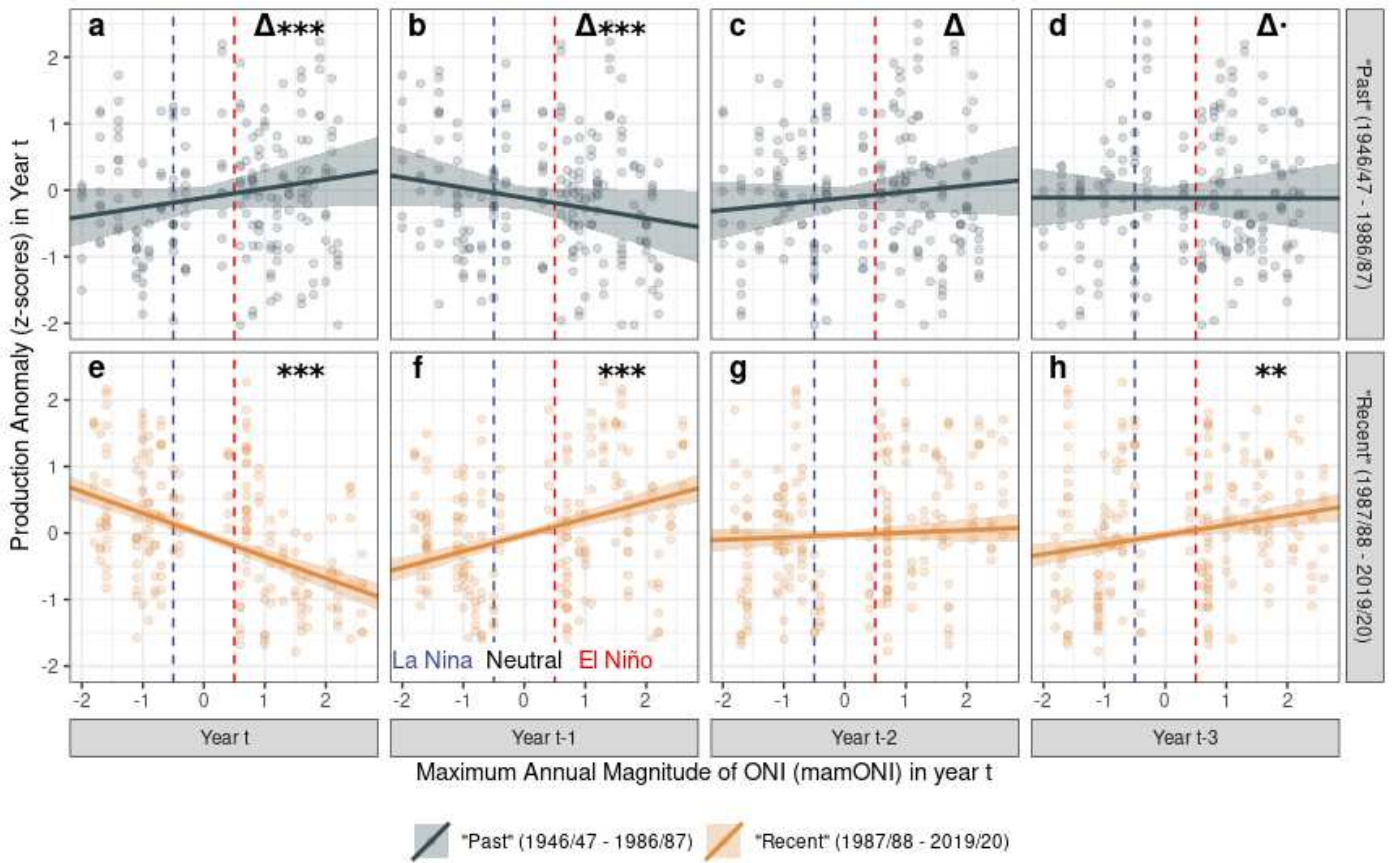


Figure 3: The changing response of cocoa production to mamONI over time in the Regional dataset, separated into “past” and “recent” purchase years. Vertical dashed lines delineate La Niña ( $mamONI \leq -0.5$ ), Neutral ( $-0.5 < mamONI < 0.5$ ) and El Niño ( $mamONI \geq 0.5$ ) conditions. Lines show the best linear fits and standard errors derived from a single ANCOVA model fitting a two-level factor splitting the data into two time periods as an interaction with each of the four year delays. Significance stars denote the probability that: top row (a-d) - the slopes for each lag (columns) differ from one another; bottom row (e-h) - the slope differs from zero (both rows - \*\*\*:  $p < 0.001$ , \*\*:  $p < 0.01$ , \*:  $p < 0.05$ , .:  $p < 0.1$ ). Adjusted R squared for the ANCOVA model was 0.17.

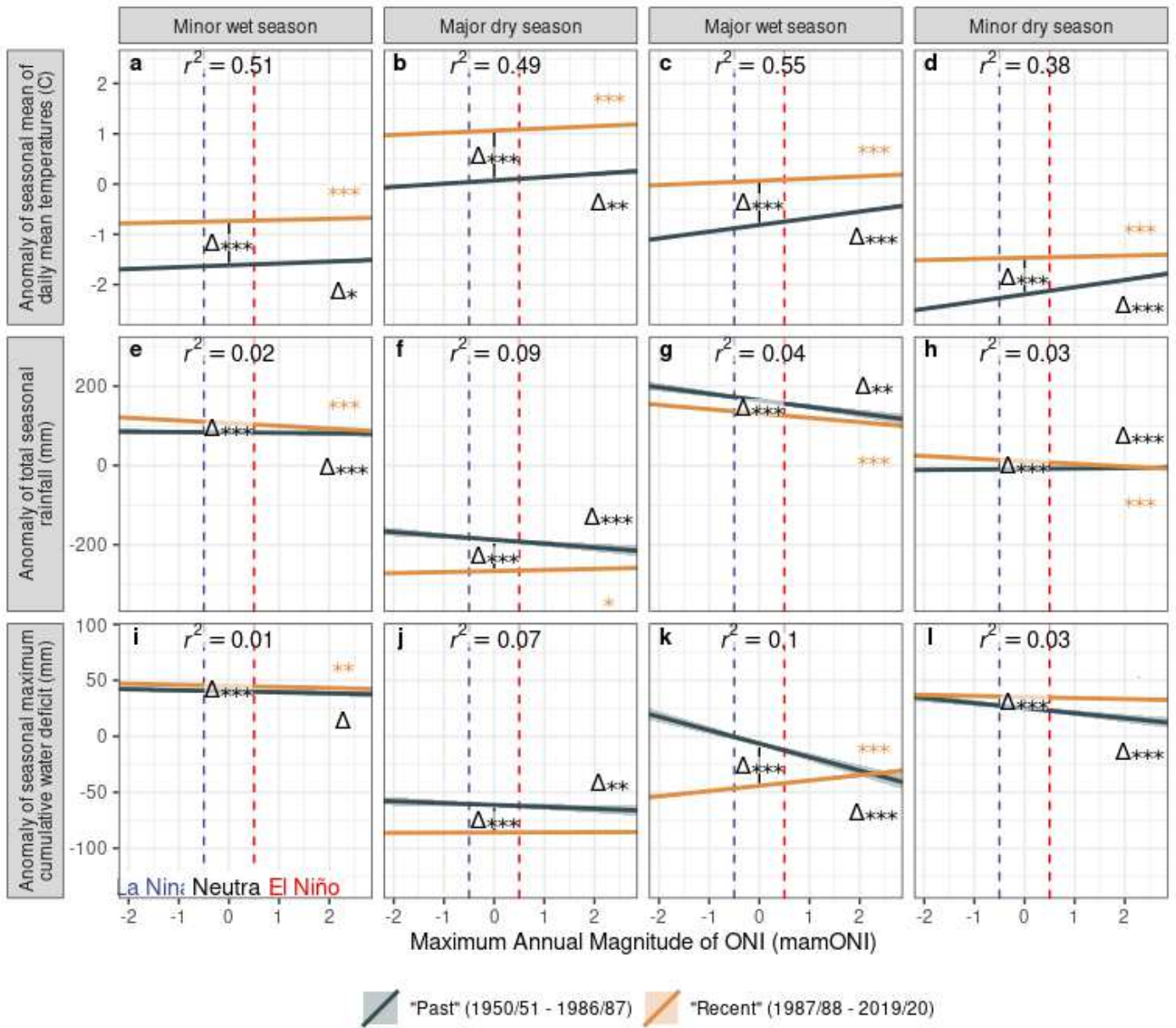


Figure 4: The response of climate to mamONI in different seasons during the purchase year, grouped into two sets of years corresponding to the best fitting break-point in the production data. Seasons are shown in chronological order during the purchase year. Vertical dashed lines delineate La Niña ( $mamONI \leq -0.5$ ), Neutral ( $-0.5 < mamONI < 0.5$ ) and El Niño ( $mamONI \geq 0.5$ ) conditions. Lines show the best linear fits and standard errors derived from 12 individual regressions of seasonal climate against mamONI, with an interaction term fitting the year category. Significance stars denote p-values derived from these models (\*\*\*:  $p < 0.001$ , \*\*:  $p < 0.01$ , \*:  $p < 0.05$ , .:  $p < 0.1$ ): (i) difference in means between year groups (delta in centre of plot), (ii) difference of the 1987/88-2018/19 slope from 0 (orange stars at right of plot), (iii) difference between slopes (delta at right of plot). The adjusted R squared value is displayed for each model.

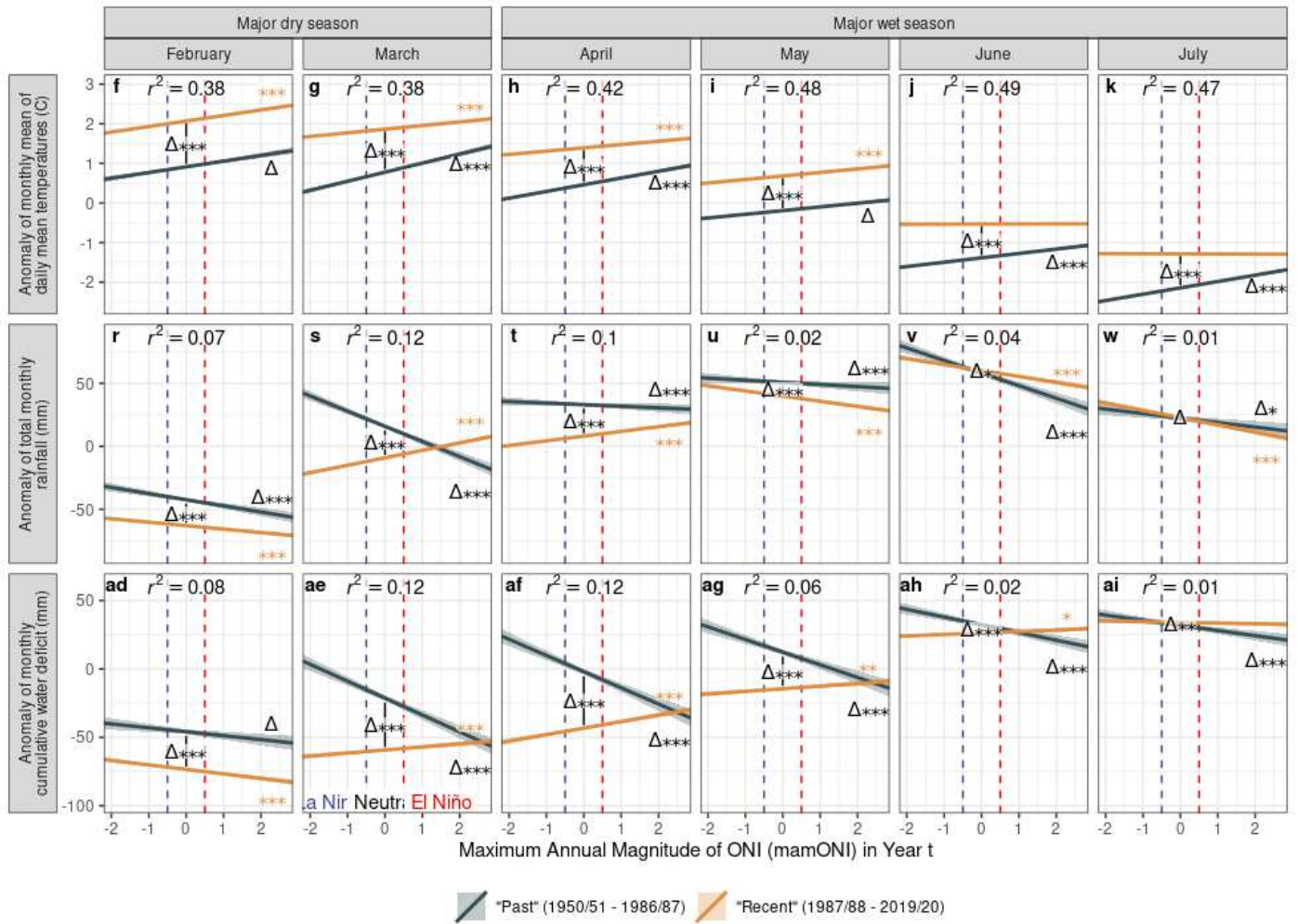


Figure 5: The response of climate to mamONI in selected months during the purchase year, grouped into two sets of years corresponding to the best fitting break-point in the production data. Supplementary Figure 1 shows a complete version with all months; panel letters are consistent across this plot and Supplementary Figure 1, hence the missing letters in this plot. Lines show the best linear fits and standard errors derived from individual regressions of monthly climate against mamONI, with an interaction term fitting the year category. All colours and notations as in Figure 4.



## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [CLAREclimateshockscocoasupplement.pdf](#)